



# Developments in Mobile Health Just-in-Time Adaptive Interventions for Addiction Science

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Published online: 27 June 2020  
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## Abstract

**Purpose of Review** Addiction is a serious and prevalent problem across the globe. An important challenge facing intervention science is how to support addiction treatment and recovery while mitigating the associated cost and stigma. A promising solution is the use of mobile health (mHealth) just-in-time adaptive interventions (JITAs), in which intervention options are delivered in situ via a mobile device when individuals are most in need.

**Recent Findings** The present review describes the use of mHealth JITAs to support addiction treatment and recovery, and provides guidance on when and how the micro-randomized trial (MRT) can be used to optimize a JITAI. We describe the design of five mHealth JITAs in addiction and three MRT studies, and discuss challenges and future directions.

**Summary** This review aims to provide guidance for constructing effective JITAs to support addiction treatment and recovery.

**Keywords** Micro-randomized trial · Just-in-time adaptive intervention · Mobile health · Addiction

## Introduction

Addiction is a serious and prevalent problem across the globe, spanning tobacco, alcohol, opioids, other drugs, and gambling behaviors. Developing new approaches to support formal

treatment and recovery for addiction is critical given prominent barriers to seeking and receiving help, such as perceived stigma, cost, burden, and limited treatment availability [1]. Further, many triggers that lead to relapse occur outside of standard treatment settings and increased risk for lapse/relapse may occur frequently and rapidly [2–6]. Mobile health (mHealth), defined as the use of mobile and wireless technologies for health promotion [7], offers a promising approach for addressing these barriers.

mHealth tools such as smartphone apps, text messaging, and interactive voice response are effective approaches for extending addiction treatment outside of the clinic [8–11]. Indeed, mobile apps hold promise as smartphone use has become increasingly ubiquitous, including among individuals with limited access to treatment as a way to reduce substance use [12–14]. A key advantage of mHealth interventions is the potential to deliver efficacious strategies in response to rapid changes in an individual's circumstances by identifying when, for whom, and to what extent an intervention is needed [15, 16]. Despite this promise, it can be challenging to administer mHealth interventions in the wild when individuals have limited time and attention [4, 5]. It is critical for scientists to develop interventions that support individuals in moments when they are most vulnerable to lapse (e.g., at the highest risk), as well as most receptive to (e.g., willing and able to use) an intervention [5, 17].

An emerging intervention design, the just-in-time adaptive intervention (JITAI), holds enormous potential for promoting

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This article is part of the Topical Collection on *Mobile Health*

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health behavior change in real time when individuals need it most. JITAIs leverage mobile technology to deliver the right type of support, at the right time based on ongoing information about the individual’s internal state and context. JITAIs are designed to address rapidly emerging vulnerabilities (e.g., high likelihood of drug use/lapse) and/or windows of opportunity for positive changes (e.g., convenient times to learn a new skill and/or build resilience), while attempting to minimize participant burden, disruptions, and habituation.

Constructing JITAIs requires study designs and analytic methods that capitalize on the rich, fine-grained, temporal data that can be collected with mobile and wireless technology. To optimize JITAIs, researchers [18, 19] introduced the micro-randomized trial (MRT) design, in which each individual is randomized to intervention options at each of the many times when it might be effective to deliver an intervention. This trial design allows investigators to address scientific questions regarding (1) the causal effect on near-time, proximal, outcomes of providing an intervention option, compared to an alternative (Table 1), and (2) whether this effect varies depending on an individual’s internal state and context. Data from an MRT

can help researchers determine how and under what conditions intervention options should be delivered to optimize intervention effectiveness (Table 1).

The present review focuses on the use of mHealth JITAIs to support addiction treatment and recovery in the domains of tobacco, alcohol, and other drug use. We begin with an example JITAI and a review of existing JITAIs developed to treat these addictions. We then discuss when the use of mHealth MRT designs is and is not necessary, and describe examples of MRT designs that answer scientific questions about how and under what conditions intervention options should be delivered to optimize a JITAI.

## JITAs to Support Addiction Recovery

### What Is a JITAI?

JITAs can address events and conditions that change rapidly and represent risk for adverse outcomes (e.g., stress, location). Because these conditions change outside of standard treatment

**Table 1** Definition of JITAI terms [cf. 5] and components and outcomes of example JITAI

<b>Definition of JITAI terms</b>	
<b>Term</b>	<b>Definition</b>
<i>Components</i>	Four components play an important role in the design of JITAIs: (1) decision points, (2) intervention options, (3) tailoring variables, and (4) decision rules [5]
<i>Decision points</i>	Points in time in which an intervention decision can be made
<i>Intervention options</i>	Possible treatments/actions that could be delivered at any given decision point (e.g., coping strategies, advice, no intervention)
<i>Tailoring variables</i>	Information about the individual’s internal state (e.g., stress, affect) or context (e.g., location) that is used to adapt the intervention (i.e., decide when and how to intervene)
<i>Decision rules</i>	Used to operationalize the adaptation of a JITAI by specifying which intervention option to offer, for whom, and when (e.g., under which internal states and contexts). The decision rules link the intervention options and tailoring variables in a systematic way
<i>Optimize</i>	The construction of an effective, efficient, and scalable intervention [20]
<i>Proximal outcome</i>	The near-time, short-term goals of an intervention (e.g., reductions in daily substance use or stress, intervention engagement). Particularly relevant when conducting a study (e.g., MRT) to optimize a JITAI
<i>Distal outcome</i>	The ultimate, longer-term goals of an intervention. Usually a primary clinical outcome (e.g., long-term abstinence)
<b>Components and outcomes of example JITAI</b>	
<b>Component/outcome</b>	<b>Description</b>
<i>Decision rule</i>	If (risk for lapse is high): Send prompt to engage in a brief self-regulatory activity Else: No prompt
<i>Decision points</i>	Every 2 h
<i>Intervention options</i>	Messages to encourage engagement in self-regulatory activities; no message
<i>Tailoring variables</i>	Risk for lapse (e.g., may include a combination of emotions, cravings, stressors, cigarette availability, HRV, and location)
<i>Proximal outcome</i>	Engagement in a self-regulatory activity following the decision point
<i>Distal outcome</i>	Smoking abstinence

settings, where multiple demands compete for an individual's effort and attention, JITAI should minimize disruptions to the daily lives and routines of individuals [4, 5]. JITAI adapt intervention delivery to an individual's internal state and context via decision rules (Table 1) that input the individual's state and output whether and what type of intervention option to deliver. The individual's current state includes data describing their real-time internal state (e.g., stress, motivation), real-world external context (e.g., weather, current location), and information about their past behavior and intervention response. At each of many predetermined times (decision points, such as every minute; Table 1), the individual's state is observed and if conditions warrant (e.g., the individual is entering a high risk location), an intervention option is delivered (e.g., recommendation to leave the high-risk location). Since these events and conditions are rapidly changing, ongoing monitoring of the individual is required to identify at which of the many possible times support is needed [15, 16].

For illustrative purposes, consider a relatively simple example of a JITAI that aims to address vulnerability to a lapse among smokers attempting to quit by increasing engagement with self-regulatory activities in real-time. Here, self-regulatory activities (e.g., breathing exercises, distraction) aid an individual in modulating their emotions, cravings, and behaviors [21, 22] in the service of a quit-attempt. This example JITAI is motivated by evidence suggesting that although self-regulatory activities can be useful in reducing negative emotions, cravings, and lapse rate [23–27], individuals often fail to utilize these strategies when needed, in real time [28]. To help individuals better address real-time experiences and events that increase risk for lapse, during the 10 days post-quit, participants are prompted six times per day to self-report key factors (e.g., emotions, craving, stressors, cigarette availability) via an ecological momentary assessment (EMA; i.e., brief survey). In addition, Heart Rate Variability (HRV) and location are measured throughout the day via wearable sensors and GPS. If this combined information indicates a moment of high risk for lapse (tailoring variable), then a prompt (intervention option) is sent via a mobile device, encouraging an individual to engage in a self-regulatory activity (e.g., consider going for a walk [29]). Otherwise, no prompt is delivered. The point in time when a decision is made about whether to deliver or not deliver a prompt is the decision point. The decision rule and other components outlined in Table 1 can be used to describe this JITAI.

We will now turn our discussion to a sample of existing JITAI already under development.

### Existing JITAI

We provide example JITAI in the following critical domains of addiction treatment: (1) tobacco use; (2) alcohol and drug use. Here, we combine alcohol and drug use because several,

although not all, existing JITAI target comorbid use or both alcohol and drug use separately. Please note that this review is not comprehensive, and other JITAI exist that support addiction treatment. Additionally, because most JITAI in the addiction field are in early stages of development, as is the case with these examples, not all have been evaluated for efficacy. Table 2 contains additional details about the components, outcomes, and results of the JITAI described here.

### Tobacco Use

Q Sense [30] is a mobile phone application for tobacco smoking cessation that senses an individual's location and delivers behavioral support (coping strategies) triggered by and tailored to contextual features to prevent smoking lapse during a quit attempt. The first stage of this intervention entails a pre-quit assessment period that begins when the individual completes a demographic and smoking survey and indicates their desired quit date in Q Sense. Preliminary research has suggested an average of 19.8 days for the pre-quit assessment stage [30], at which time a high-risk location is identified (i.e., a place where the individual reports smoking more than four times during the pre-quit period). Each time an individual reports smoking, Q Sense administers an EMA to gather information on mood, stress, urge, current context (e.g., home, working, socializing), and whether others are present and also smoking. Identification of a high-risk location triggers the system to create a virtual perimeter (geofence) around that area. The combined EMA and location data trigger delivery of a tailored support or feedback message (e.g., "Based on 12 reports, 25% of the times you smoke you are working." [30]). That is, the delivery of support is adapted to the individual's current location and the content of the support is tailored based on the individual's EMA responses (including self-reports of their current context). Individuals transition to the second stage on their desired quit date. During the second, 28-day post-quit period of the intervention, the application passively monitors the individual's context throughout each day to detect whether they enter a high-risk location. If an individual enters and/or lingers for 5 min in a high-risk location, then Q Sense sends a notification to their mobile device. Tapping on this geofence-triggered notification delivers a support message (e.g., coping strategy) tailored to the information collected during the pre-quit assessment stage. For example, if an individual self-reports high stress levels when smoking at a specific high-risk location (e.g., home) during the pre-quit stage, then support messages with coping strategies for moderate-to-high stress will be delivered during the post-quit stage when the individual is at home. No message is delivered if the individual is not in a high-risk location. Further support messages are delivered every 3 h that the individual remains in the high-risk location. During these first two stages, a tailored quitting preparation message or smoking

**Table 2** Components, outcomes, and results or study stage of each JITAI and MRT described in this review

JITAI/ MRT name	Addiction	Components/outcomes	Results/analysis stage
<i>Just-In-Time Adaptive Interventions (JITAs)</i>			
<i>Q Sense</i>	Tobacco	<i>Decision points:</i> May occur every minute <i>Tailoring variables:</i> Entering or lingering in a high-risk location <i>Intervention options:</i> Delivery of coping strategies vs. no delivery <i>Distal outcome:</i> Smoking abstinence	This feasibility pilot study ( $N = 15$ ) revealed that both the application (56% received geofence-triggered support; 50% of the geofence-triggered support message notifications were tapped on within 30 minutes; 78.2% of delivered messages were rated using the 5-star rating system); and use of geolocation (collected by the context-aware Q Sense system in 97% of smoking reports with a mean accuracy of 31.6 (SD = 16.8) m) were feasible. Participants also indicated that they saw value in the geofence-triggered support and did not indicate privacy concerns [30]
<i>Smart-T</i>	Tobacco	<i>Decision points:</i> Followed self-monitoring assessment (i.e., random EMAs were delivered 4× a day; participants could also self-initiate EMAs when they felt an urge, were about to lapse, or had lapsed)	Participants ( $N = 59$ ) in the feasibility and acceptability study responded to 87% of the intervention assessments, 83% used the on-demand application features, and 20% remained abstinent 12 weeks post-quit. Thus, the study was found to be both feasible and acceptable [31]
<i>Smart-T2</i>		<i>Tailoring variables:</i> Risk level for smoking lapse (e.g., low risk, high risk, lapsed). Risk level was determined by and tailored to EMA responses to the following four lapse triggers: negative affect/stress, smoking urge, easy access to cigarettes, and motivation to quit. High risk level was determined by and tailored to the highest rated of these lapse triggers <i>Intervention options:</i> 1 week pre-quit: motivational messages. 2 weeks post-quit: Low risk—maintaining abstinence motivation and general cessation advice; High risk—ways to cope, tailored on the highest rated of the lapse triggers; Already lapsed—motivational messages to return to abstinence <i>Distal outcome:</i> Smoking abstinence	Participants ( $N = 81$ ) were randomized to one of three arms in a pilot randomized controlled trial (RCT): (1) Smart-T2, (2) NCI QuitGuide, and (3) usual care. The NCI QuitGuide is a smartphone application that adheres to clinical practice guidelines and includes motivational messages and detailed medication information. Usual care was the standard in-person care provided by a cessation clinic. This pilot RCT lasted for 13 weeks (1 week pre-quit and 12 weeks post-quit). Smart-T2 performed as well as NCI QuitGuide and usual care. Abstinence rates were Smart-T2 = 6/27, 22%; NCI QuitGuide = 4/27, 15%; and usual care = 4/27, 15%. The Smart-T2 group rated the app positively and indicated they believed that the app could help them stay quit [32•]
<i>A-CHESS</i>	Alcohol	<i>Decision points:</i> May occur every minute <i>Tailoring variable:</i> Proximity to a high-risk location <i>Intervention options:</i> Delivery of alert vs. no alert <i>Distal outcome:</i> Alcohol abstinence	Participants ( $N = 349$ ) who met the criteria for DSM-IV alcohol dependence at five residential treatment programs were enrolled in the RCT and randomized to either a control ( $N = 179$ ; treatment as usual) or A-CHESS ( $N = 170$ ; treatment as usual + A-CHESS) group. Treatment as usual varied across the five residential treatment programs and none offered coordinated care after discharge. A-CHESS provided location tracking, self-monitoring, on-demand support information and services, and options for communication with peers and counselors. Surveys were administered at months 4, 8, and 12 asking participants to self-report their number of risky drinking days in the previous 30 days. Results indicated that during the 8 months of intervention and 4 months of follow-up, the A-CHESS intervention group self-reported significantly fewer risky drinking days than the control group ( $M = 1.39$ vs. 2.75, respectively; $p = 0.003$ ) [9]
<i>PHIT for duty</i>	Alcohol	<i>Decision points:</i> Biweekly <i>Tailoring variables:</i> Psychometric and psychophysiological data indicative of mild or moderate health risk <i>Intervention options:</i> Recommended activities to reduce symptoms and prevent disease (e.g., stress reduction, mindfulness meditation, progressive muscle relaxation, behavior therapy, health messaging, behavioral education in sleep quality and alcohol use, and links to professional care) <i>Distal outcome:</i> Improved mental health, including reduced PTSD symptoms	Although the PHIT framework is built to flexibly target substance use [33, 34], the PHIT for duty research described here focused on alcohol use [35•]. Participants ( $N = 31$ ) rated usability on a 1 (very hard) to 5 (very easy) scale and also completed the System Usability Scale (SUS) questionnaire ( $N = 9$ ). Results indicated (mean $\pm$ SD) high overall usability of the PHIT ( $4.5 \pm 0.6$ ) application and relatively high usability of the pulse sensor ( $3.7 \pm 1.2$ ) and sleep monitor ( $4.4 \pm 0.7$ ), suggesting the application and sensors are relatively feasible and acceptable. Further, a comparison of PHIT-based mHealth assessments to traditional paper forms demonstrated a high correlation ( $r = 0.87$ ) [35•]

**Table 2** (continued)

JITAI/ MRT name	Addiction	Components/outcomes	Results/analysis stage
<i>Micro-Randomized Trials (MRTs)</i>			
<i>Sense2Stop</i>	Tobacco	<i>Decision points:</i> The minute at the peak of each stressed episode during a 12-h day <i>Intervention options:</i> A smartphone prompt to engage in a digital stress-management intervention or to receive no prompt <i>Proximal outcome:</i> Subsequent two-hour likelihood of stress <i>Distal outcome:</i> Time to smoking relapse	Study ( $N = 75$ ) data analyses are currently underway [36•]
<i>SARA</i>	Alcohol and drug use	<i>Decision points:</i> Two per day (4 PM and 6 PM) <i>Intervention options:</i> 4 PM notification with an inspirational message vs. no message. 6 PM reminder notification to complete survey (simple reminder vs. persuasive reminder) and two active tasks <i>Proximal outcome:</i> Whether the daily evening assessment is completed <i>Distal outcome:</i> Long-term adherence to assessment completion	Study ( $N = 74$ ) data analyses are currently underway [37•]
<i>MARS (orienting example)</i>	Tobacco	<i>Decision points:</i> 6 times per day (approximately two hours between each one) <i>Intervention options:</i> A prompt recommending a low- or high-effort self-regulatory activity vs. no prompt <i>Proximal outcome:</i> Engagement in self-regulatory activities in the subsequent hour following a decision point <i>Distal outcome:</i> Smoking abstinence	Data collection is about to begin [38••]

fact is delivered each morning. Following the delivery of any support message, individuals can rate the message using a 5-star scale. At the end of each day, individuals fill out a short app-based survey on the number of daily cigarettes smoked, the strength and frequency of smoking urges, and abstinence self-efficacy. The third stage is a passive monitoring period without proactive support delivery. The distal outcome of this intervention is smoking abstinence. The intervention self-report assessments and geofencing were found to be feasible, acceptable, and reasonably reliable (Table 2).

Smart-T [31] is a mHealth cessation application developed for economically disadvantaged tobacco smokers during a quit attempt. Post-quit, this intervention utilizes four randomly delivered EMAs per day to collect information on urges, affect, stress, and cigarette availability and an end-of-day diary. Individuals can also initiate an EMA if they are experiencing an urge, are about to lapse, or have already lapsed. If the EMA responses indicate one of three specific levels of risk to lapse (e.g., low, high, or lapsed), then the person receives a tailored message promoting a specific strategy. Strategies include cessation advice and tips to those identified at either a low or high risk to lapse, and messages targeting abstinence motivation to those who have already lapsed. The Smart-T app also provides on-demand content, including direct access to a tobacco quitline and quit tips with smoking cessation advice, coping strategies, medication recommendations, and benefits of

successfully quitting [31]. The distal outcome is smoking abstinence. Initial pilot testing indicated that use of the Smart-T application was feasible and acceptable [31]. The latest version of the application, Smart-T2 [32•], was recently compared to NCI's free QuitGuide application (containing motivational messages and information about medication) and standard of treatment (usual care: 6 weeks of in-person counseling) in a three-arm pilot randomized controlled trial (Table 2).

### Alcohol and Drug Use

The Addiction-Comprehensive Health Enhancement Support System (A-CHESS) is a mHealth application designed to improve continuing care for alcohol use disorders [9]. A-CHESS includes both on-demand content (e.g., an individual can select an audio-guided relaxation when desired) as well as JITAI options. For instance, a global positioning system (GPS) technology tracks when an individual approaches a location that they pre-specified as a place where they regularly obtained and/or consumed alcohol in the past (e.g., a bar they frequented). If the individual approaches the high-risk location, then the GPS initiates the delivery of an A-CHESS alert to the individual asking if they want to be in that location; otherwise, no alert is delivered [9]. Individuals about to relapse can also press a panic button to contact social support. A reduced Brief Addiction Monitoring (BAM) Index is administered each week to assess

lifestyle balance, sleep quality, negative affect, and recent substance use and BAM feedback is displayed graphically in A-CHESS. Individuals can permit counselors to view check-in data and receive A-CHESS notifications if BAM completion is below threshold. Here [9], the distal outcome is alcohol abstinence. Results of a randomized controlled trial comparing patients enrolled in a control (treatment as usual) program to those in an A-CHESS (treatment as usual + A-CHESS) program suggested that A-CHESS is efficacious in reducing alcohol use (Table 2). Research is currently underway to extend A-CHESS for use in improving outcomes for drug use, including opioid dependence [39].

Based on the Personal Health Intervention Toolkit (PHIT) [33], a mobile app framework for personalized health intervention studies, the goal of PHIT for duty [34] is to build resilience in healthy U.S. military troops and prevent substance use and psychological health problems in high-risk personnel. PHIT for duty is delivered using a smartphone or tablet and incorporates optional nonintrusive physiological (e.g., pulse sensor for assessment of HRV) and behavioral (e.g., body motion/actigraphy, sleep monitor) sensors for health status monitoring. Biweekly psychometric health risk assessments monitor stress, depression, anger, anxiety, alcohol use, and sleep quality. This JITAI is an intelligent virtual advisor that analyzes the psychometric and physiological data using evidence-based rules and scripted processes that create a feedback loop tailoring the application to the individual. If the analysis indicates mild to moderate health risk, then the virtual advisor recommends tailored intervention options that include mindfulness-based activities and behavioral education (Table 2). The distal outcome of this intervention is improved mental health. Evaluations of usability and health assessment accuracy have shown promise for use in mHealth research [35•] (Table 2).

The aforementioned JITAI highlight ongoing developments in mobile sensing technology (e.g., geosensing in A-CHESS [9] and Q Sense [30]) that have made it possible to detect, in real time, some moments when and where an intervention might need to be delivered. While sensors provide essential contextual information and are low burden, other additional evidence (e.g., information on when to deliver an intervention based on an individual's emotions, cravings, psychological states, and cognitive states) that might be used to increase JITAI effectiveness is still most reliably measured with self-report assessments.

Further, existing behavioral theories are often static (i.e., assume individual stability over time [5••]), and this limits the extent to which these theories can guide the development of real-time, dynamic JITAI [40, 41]. More experimentation may be needed to answer scientific questions about under which conditions it is optimal to deliver each intervention option as well as to further develop dynamic behavioral theory [5••].

To answer scientific questions about how best to optimize JITAI, as well as to provide data to further develop behavioral theory, the micro-randomized trial (MRT) [18, 19] was developed. We describe the MRT experimental design in the next section.

## MRTs for Optimization of a JITAI

An MRT is an increasingly popular experimental design in which participants may be randomized hundreds or thousands of times to different intervention options. Schematics of a selection of MRTs are available to guide future research [see 42••]. Returning to the self-regulatory intervention introduced during the discussion of JITAI, an MRT design can be used to inform the decision rules in constructing this JITAI. This is the case for the Mobile Assistance for Regulating Smoking (MARS) MRT [38••].

MARS is a 10-day MRT designed to optimize the delivery of prompts recommending that individuals engage in self-regulatory activities. Participants are randomized six times per day, approximately every 2 h, to one of three intervention options: (1) a prompt recommending a relatively high-effort self-regulatory activity (e.g., 3-min meditation exercise), (2) a prompt recommending a relatively low effort self-regulatory activity (e.g., simple instruction for substitution activity), or (3) no message. Randomization probabilities are set so that an average of 3 prompts will be delivered each day (1.5 times the prompt will recommend a relatively high effort activity, and 1.5 times the prompt will recommend a relatively low effort activity). Lapse, Heart Rate Variability (HRV), and location will be measured via wearable sensors and GPS. In addition, 1 h following each randomization, participants will receive an EMA to report engagement in self-regulatory activities in the last hour (primary proximal outcome). The EMA will also include questions about other key factors associated with lapse risk (e.g., emotions, cravings, cigarette availability, stressors). These factors will be used to investigate the conditions in which subsequent recommendations to engage in a self-regulatory activity increase proximal engagement in self-regulatory activities, as well as whether engagement is associated with changes in risk factors.

As in MARS, MRTs [cf. 18, 19] are designed to facilitate optimizing JITAI. The following types of scientific questions are often considered when researchers are interested in optimizing a JITAI [cf. 43••]:

1. Is it worthwhile to deliver an intervention option? That is, does delivery of a prompt recommending a self-regulatory activity increase engagement in self-regulatory activities, as compared to no message, on average across all individual states and circumstances?

2. Under what conditions is the delivery of an intervention option most beneficial? For instance, a prompt to engage in a self-regulatory activity may be especially helpful during times of craving, as compared to no message.
3. Which type of intervention option is most beneficial? It may be that prompts recommending a low-effort self-regulatory activity are on average more likely to increase engagement than those recommending a high-effort activity, but that high-effort activities are particularly valuable for building resiliency.
4. Under what conditions is one type of intervention option more beneficial than another? When considering the effectiveness of different prompts, it is important to consider their timing (e.g., what time of day is best to deliver high- versus low-effort self-regulatory recommendations?) and the context in which the intervention options are delivered (e.g., are smokers more likely to engage in low-effort than high-effort self-regulatory activities while at work?).

Once there is sufficient empirical and/or theoretical evidence to support the optimal delivery of intervention component(s) (see Table 1 for definition), then a researcher can evaluate the efficacy of this JITAI package in a randomized controlled trial comparing the JITAI to a different intervention package (e.g., standard of care; as in A-CHESS [9]). See [20] for further information on principles underlying optimization of bio-behavioral interventions.

### Existing MRT Studies

We will now describe two MRTs that have already been conducted. This review is not comprehensive and additional MRTs exist in addiction science and other fields; see [43••] for a subset of MRTs in use across other health fields. The selected MRTs are within the same areas of addiction described above: (1) tobacco use; (2) alcohol and drug use.

#### Tobacco Use

Sense2Stop [36•] evaluated the feasibility of a JITAI aiming to decrease stress in tobacco smokers during a quit attempt. Participants were instructed to prepare to quit smoking on days 1–3 of the study, quit smoking on day 4, and attempt to abstain from smoking thereafter. Throughout the 10-day post-quit period, a machine learning algorithm [44, 45] randomly assigned a participant to either receive a smartphone prompt to engage in a digital stress-management intervention or to receive no prompt. A second machine learning algorithm [46] provided real-time classifications of physiological stress based on physiological measurements (HRV, respiration) from sensors. Together, the two algorithms were used to construct randomization probabilities. If a participant had not yet

lapsed, then during episodes classified as stressed they received an average of 1.5 stress-management prompts per day. If a participant had already lapsed, then during episodes classified as stressed they received an average of one stress-management prompt per day. Here, the proximal outcome was the likelihood of stress in the subsequent two hours, and the distal outcome was time to smoking relapse. Analysis addressing the primary aim for this MRT is currently underway (Table 2).

#### Alcohol and Drug Use

Substance Abuse Research Assistant (SARA) [37•] is a mobile application aiming to increase and sustain engagement in an evening assessment of alcohol and marijuana use-related behaviors. The evening assessment involved one survey and two active tasks (to assess reaction time and spatial memory). The survey included questions related to that day's mood, stress, loneliness, and hopefulness. This 1-month MRT study consisted of participants aged 14–24 years, who reported binge drinking or marijuana use in the previous month. SARA provided a variety of engagement strategies to incentivize participants to complete the assessment. A base strategy involved a virtual aquarium that became richer and more complex as the participant completed assessments. A variety of other engagement strategies were randomized, including the following: (1) at 4 PM, participants were randomized at a 0.5 probability to either receive a push notification with an inspirational youth-focused message from a contemporary celebrity, or to receive no message, and (2) at 6 PM, participants were randomized at a 0.5 probability to receive one of two types of reminders (simple or persuasive reminder) to complete the assessment. The proximal outcome was completion of evening assessments, and the distal outcome was long-term adherence to study assessment completion. The primary analysis is currently underway (Table 2).

### When Do JITAI Require Optimization Via an MRT?

Not all JITAI require optimization via an MRT. A researcher might not conduct an MRT study when empirical and/or theoretical evidence already exists to fully construct the JITAI decision rules. In other words, if existing evidence is sufficient to identify the decision points, tailoring variables and intervention options that would form an effective JITAI, then an MRT study would not be necessary. Another setting in which a researcher would not use an MRT is if the times at which support is needed are very rare, such as in the case of suicide attempts [cf. 47]. While suicide attempts are a significant problem among individuals seeking treatment for addictions, an MRT is unlikely to provide sufficient data to assess what

are the best intervention options at times of suicide attempts. However, suicidal ideation tends to occur at a higher rate [cf. 47], and so it would be possible for an MRT to optimize a JITAI component for intervening during moments of suicidal ideation. Thus, researchers should decide if existing theoretical and empirical evidence is sufficient to develop an efficacious JITAI to support their population, whether the event of interest is suitable for an MRT design, and/or whether further empirical evidence is needed.

## Challenges and Future Directions

Research on the development of JITAIs is in its early stages and more work is needed to understand how and under what conditions individuals are most likely to engage with recommended interventions. Multiple important challenges and future directions remain.

### Challenges

It is critical to note that for all the advancements made in mobile data collection technologies (e.g., smartphones, wearables sensors), many existing devices are still maturing and currently lack the precision necessary to provide useful information about the conditions under which it is best to offer a specific intervention option. For example, many mobile technologies have technological limitations that can be misleading (e.g., indication of stress when the participant is exercising) or lead to data loss. Geolocation technologies can fail to provide accurate location information due to network connectivity issues. Sensors developed to assist in the collection of smoking cessation, such as puffMarker [48], may record a puff when the hand gestures upward, regardless of whether or not the person is actually smoking. Further, mobile phone applications may freeze or crash, and all mHealth studies are susceptible to data loss if participants shut off or fail to charge their mobile devices and sensors. Data loss may also occur if participants find wearable sensors to be uncomfortable or burdensome and consequently stop wearing the devices. There are also limitations in the use of sensors, even when paired with machine learning, in the sensitivity and prediction of the presence of internal states like craving or stress [49]. Indeed, the detection rate of internal states by passive inputs is below 50%, and the prediction accuracy for future states is approximately 70–80% [49]. Thus, an important challenge is to provide meaningful interventions despite technological limitations.

A second challenge involves the selection and integration of empirical, theoretical, and practical evidence into dynamic scientific models to inform the construction of JITAIs that treat addiction [see 4]. Many existing behavioral models are static and developed to be tested within laboratory settings. Although the development and testing of theoretical models in

laboratory settings is critical to the advancement of addiction science, to develop interventions that are efficacious in the real world, scientific models must account for the dynamic, real-time factors that influence individuals in the field. This includes real-time emotions, cravings/urges, psychological states (e.g., motivations), availability of cognitive resources, burden, the availability of a substance, or contexts/people that remind an individual of the use of a particular substance. Development of these dynamic, theoretical models requires real-time assessment.

A third challenge involves intervention engagement. Even rigorously tested and theoretically grounded JITAIs for addiction will be ineffective if people do not engage in the intervention. Individuals who are not engaged may also fail to charge or consistently wear sensors, further contributing to the data loss challenges described above. One promising approach to increasing intervention engagement is to integrate strategies from behavioral science (e.g., persuasion, reward) that are likely, based on the extant theoretical and empirical evidence, to promote real-time engagement in interventions.

A fourth challenge involves ethical considerations such as privacy, confidentiality, and safety of the individual. Many of the strengths of mHealth research (i.e., the ability to provide support to large and broad samples and continually collect streaming data on a range of sensitive behaviors) drive privacy and security concerns [50–56]. Privacy is particularly critical when the data collected and stored on a mobile device involve information concerning illicit drug use or related activities. Researchers must find ways to encrypt data, password-protect software and devices, and provide individuals with protections from legal and other detrimental consequences [50, 52, 55]. mHealth data also contain rich geolocation, user activity, and sensor information that is difficult to de-identify due to unique fingerprints that devices leave in the data [50, 56]. As such, there must be specific plans in place to manage and summarize data in a way that maintains confidentiality. The ethics of intervening in a real-life setting may also lead to the decision to not provide support (even when support may be needed; e.g., a stress episode is detected while an individual is driving) or to modify the type of support provided [4, 57] (e.g., counselor calls an individual who reports suicidal intent) to protect individual safety. Due to the overall ethical challenge, it is critical that researchers develop JITAIs customized to address the unique privacy, confidentiality, and safety considerations specific to their population.

### Future Directions

Several promising future directions exist for the optimization of JITAIs for addiction treatment and recovery:

1. The development of future dynamic models that will guide scientific questions about real-time, real-world



addiction treatment and recovery to inform the design of MRTs and the construction of future JITAIs.

2. The integration of engagement strategies that promote the use and efficacy of interventions.
3. The development of new analytic methods that capitalize on the rich, fine-grained, temporal data available via mHealth technologies.
4. More precision in the ability of JITAIs to detect when individuals are able, as well as unable, to benefit from the intervention (e.g., when they are most vulnerable to lapse, or highly motivated and able to maintain their addiction recovery without support from the intervention), and adapt to send more or fewer intervention strategies, depending on the individual's current needs. Specifically, future JITAIs should be able to harness algorithms that accurately predict, in real time, when an individual's external contexts and internal physiological and psychological states signal vulnerability to (re)lapse so that delivery of the intervention can increase or decrease in intensity accordingly.

## Conclusions

This review has discussed the promise of mHealth JITAIs for addiction treatment and recovery from tobacco, alcohol, and drug use. Although the use of JITAIs in addiction science is in its infancy, MRT experimental designs can help answer scientific questions that support the optimization of JITAIs. Through outlining an example JITAI and reviewing several already existing JITAIs and MRTs, we have illustrated the variety of topics and ways in which JITAIs can be optimized.

Although the current review has focused primarily on JITAIs designed to treat substance use, JITAIs are also promising for the treatment of other addictions, such as food addictions and gambling. Further research is needed to fully realize the potential of JITAIs to support treatment and recovery from a wide range of addictive behaviors. The present review aims to generate enthusiasm and provide guidance for constructing effective JITAIs for addiction treatment and recovery.

**Acknowledgments** This work was supported in part by U.S National Institutes of Health grants R01AA23187 (NIH/NIAAA), P50DA039838 (NIH/NIDA), U01CA229437 (NIH/NCI), R01DA039901 (NIH/NIDA), P30CA042014 (NIH/NCI), UL1TR002538 (NIH/NCATS), and the Huntsman Cancer Foundation.

## Compliance with Ethical Standards

**Conflict of Interest** S.M.C., M.M., I.N.-S., D.W.W., and S.A.M. declare that they have no conflicts of interest.

**Human and Animal Rights and Informed Consent** All reported studies/experiments with human or animal subjects performed by the authors

have been previously published and complied with all applicable ethical standards (including the Helsinki declaration and its amendments, institutional/national research committee standards, and international/national/institutional guidelines).

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